

Product Provider Name: _____

Client's Home State: _____

Please acknowledge that the information listed below regarding your purchase of the above noted 529 plan is true and accurate, and that it is consistent with your investment objectives and risk tolerance.

- I have read and understand the offering statement provided to me at the time of purchase; which outlines the contribution limits and residency requirements. I understand that each state's plan may have different contribution limits and/or residency requirements.
- I understand that Colorado Financial Services Corporation recommends that I seek the advice of a tax advisor or attorney prior to making my contribution.
- Contributions may be made to an educational IRA and a 529 plan in the same year without tax consequences.
- I understand that there may be tax consequences and penalties if funds are withdrawn from the 529 plan and are not used for qualified higher educational purposes as described in the offering statement.
- I understand that an investment in the 529 plan stated above is not FDIC insured and is not guaranteed by any other government agency. It is possible to lose money by investing in the fund.
- I understand that, once the contribution is made to the selected portfolio, I can only change the allocation once per calendar year.
- I understand distributions from 529 education savings plans for elementary or secondary education tuition expenses are federally tax free, state tax treatment will vary and could include state income taxes assessed, the recapture of preciously deducted amounts from state taxes, and/or state-level penalties.

A 529 college savings plan is a college savings plan that allows individuals to save for college on a tax-advantaged basis. Accounts may be used to pay tuition for students attending elementary or secondary public, private, or religious schools. Every state offers at least one 529 plan. In addition, many states offer favorable state tax treatment or other valuable benefits to their residents in connection with investments in their own 529 college savings plan.

If you are purchasing into an **OUT-OF-STATE** 529 plan, please read the following:

- Depending on the laws of the home state of the customer or designated beneficiary, favorable state tax treatment or other benefits offered by such home state may be available only if the customer invests in the home state's 529 plan;
- State-based benefits should be one of many appropriately weighted factors to be considered in making an investment decision;
- The customer should consult with his or her financial, tax, or other adviser about how such state-based benefits would apply to the customer's specific circumstances; and
- The customer may wish to consult his or her home state or any other 529 college savings plan to learn more about their features.

(Continue to Signature Page)

With my signature below, I acknowledge that (i) I have read and understand the program disclosure document provided to me at or prior to the time of purchase; and (ii) I have received a copy of this document.

Client Name	Client Signature	Date
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Registered Representative's Name	RR's Signature	Date
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Series 53 Supervisor's Name	Signature	Date
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